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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number **SHPR-01361US7**Inventor **Shek Fai Lau et al.**Title **Electrode Cleaner for Use with Electro-Kinetic Air Transporter-Conditioner Device**Express Mail Label No. **EV 326 481 532 US**

APPLICATION ELEMENTS See MPEP Chapter 600 concerning Utility Patent Application Contents		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27)		8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above 	
3. <input checked="" type="checkbox"/> Specification Total Pages <u>32</u> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> ■ Descriptive Title of the invention ■ Cross Reference to Related Applications ■ Statement Regarding Fed Sponsored R & D ■ Reference to Sequence Listing, a table or computer program listing Appendix ■ Background of the Invention ■ Brief Summary of the Invention ■ Brief Description of the Drawing(s) (if filed) ■ Detailed Description ■ Claim(s) <u>33</u> ■ Abstract of the Disclosure 		9. <input type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) Total Pages _____	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>14</u>		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
5. Oath or Declaration Total Pages <u>2</u> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly Executed b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>4</u> Total Pages		12. <input checked="" type="checkbox"/> Information Disclosure Statement <u>1</u> IDS <i>((IDS)/PTO-1449) <u>9</u> Total Pages Citation</i>	
		13. <input type="checkbox"/> Preliminary Amendment Total Pages	
		14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503)	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
		16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input type="checkbox"/> Other	

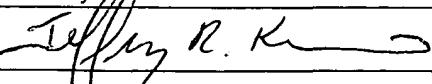
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 09 / 924,624

Prior application information: Examiner: Thao T. Tran Group/Art Unit: 1711

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number 23910		or	<input type="checkbox"/> Correspondence Address below	
NAME ADDRESS	Fliesler Dubb Meyer & Lovejoy LLP Four Embarcadero Center, Fourth Floor			
	CITY	San Francisco	STATE	CA
COUNTRY	USA	TELEPHONE	415/362-3800	ZIP CODE
Name (Print/Type)	Jeffrey R. Kurin		Registration No. (Attorney/Agent)	
Signature			Date	August 18, 2003

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10/642927

08/18/03



2003

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$1,068.00)**

<i>Complete if Known</i>	
Application Number	Divisional of 09/924,624
Filing Date	August 18, 2003
Inventor	Shek Fai Lau et al.
Group Art Unit	1711
Examiner Name	Thao T. Tran
Attorney Docket Number	SHPR-01361US7

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to:

- Charge the indicated fees to the below mentioned deposit account.
- Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325
Deposit Account Name: Fliesler Dubb Meyer & Lovejoy

2. Payment Enclosed:
[X] Check [] Other

FEE CALCULATION (fees effective 10/1/01)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$750	2001/\$375	Utility Filing	750
1002/\$330	2002/\$165	Design Filing	
1004/\$750	2004/\$375	Reissue	
1005/\$160	2005/\$80	Provisional Filing	
SUBTOTAL (1)		(\$1,068.00)	

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$84	2201/\$42	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$84	2204/\$42	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (3)		(\$0)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month [†]	
1252/\$410	2252/\$205	Extension for response within second month [†]	
1253/\$930	2253/\$465	Extension for response within third month [†]	
1254/\$1,450	2254/\$725	Extension for response within fourth month [†]	
1255/\$1,970	2255/\$985	Extension for response within fifth month [†]	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	
1501/\$1,300	2501/\$650	Utility Issue Fee (Or Reissue)	
1502/\$470	2502/\$235	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1814/\$110	2814/\$55	Statutory Disclaimer	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/\$750	2801/\$375	Request for Continued Examination (RCE)	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)		(\$0)	

(Col. 1)	(Col. 2)	(Col. 3)	(Col. 4)
For	No. of Existing Claims	Highest No. Previously Paid For	Extra**
TOTAL	33	20 or	= 13
INDEP	4	minus*	x 18 = 234
		3 or	= 84
		minus*	= 0
[] First presentation of multiple dependent claim			

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$318)**

SUBMITTED BY

Typed or Printed Name **Jeffrey R. Kurin**

Complete (if applicable)

Reg. Number **41,132**

Signature

Date

August 18, 2003